

## DIGITAL CAMERA USING CRITICAL POINT MATCHING

## BACKGROUND OF THE INVENTION

## 5 1. Field of the Invention

The present invention relates to a digital camera, and it particularly relates to a digital camera in which a process using critical point matching is performed on photographed or captured images.

## 10 2. Description of the Related Art

As a part of the digital revolution, many people have come to enjoy services on the Internet from personal computers and portable telephones. In some areas, digital broadcasts are also now available, thus, a barrier that has existed between broadcasting and communications is beginning to disappear rapidly. Moreover, video equipment and cameras are becoming more digital and even personal use digital information equipment is very high quality and more closely connected with broadcasting and communications. Today, "multimedia" plays a role as a trend setting force for human culture thanks to technology innovation and a well-prepared and developing infrastructure.

Digital cameras, which initially made their debut aiming

at efficient storage and printing for digital use, are today equipped with various image processing capabilities. Even personal-use oriented digital cameras are starting to include functions fit for professional use. In many ways, personal-use digital equipment has helped to accelerate and continues to support development of the IT and digital world.

For example, recent digital cameras offer image effects and features such as edge emphasis using high-pass filters and color tone transform processing. In order to capture greater amounts of digital video, some digital cameras offer compression such as that provided by MPEG (Motion Picture Expert Group) in order to allow motion pictures to be captured and stored in the digital camera.

In order to provide additional functionality in both personal-use and professional-use digital cameras, it is necessary to have a camera that can store a large amount of ...

#### SUMMARY OF THE INVENTION

The present invention has been made in view of the foregoing circumstances and an object thereof is to provide a digital camera which captures motion pictures and stores them using a comparatively small amount of data.

According to an embodiment of the present invention, there is provided a digital camera that utilizes an image matching in terms of time. In particular, the digital camera includes: an image pick-up unit which captures (or  
5 photographs) images; a camera controller which controls the image pick-up unit so that a first image and a second image are captured by the image pick-up unit at predetermined intervals; and a matching processor which computes a matching between the first image and the second image, and which then  
10 outputs a matching-computed result as a corresponding point file. The "predetermined interval" may be capable of being set by a user, or may be fixed in advance.

When, for example, the user instructs the camera to capture an image, the camera controller controls the camera to  
15 capture two images in sequence at the predetermined interval. Since the matching processor makes the corresponding point file based on the matching of the two images, an intermediate image can be generated by using this file at a later stage. As a result, a motion picture can be reproduced by a small  
20 amount of data in a simplified manner. If the interval at which the two images are photographed is extended to a certain degree, an image-effect-like morphing, rather than the reproduction of a motion picture, is obtained. This feature may be a very interesting one to have as a function of the

digital camera. For example, if each of two images is a face of a different person, a morphing between the two faces can be produced.

According to another embodiment of the present invention there is provided a digital camera that includes: an image pick-up unit which captures images; a camera controller which determines two images among the images captured by the image pick-up unit, as a first image and a second image; and a matching processor which computes a matching between the first image and the second image, and which then outputs a computed result as a corresponding point file. The camera controller may determine which two images to designate as the first and second images among images or they may be set according to a user's instruction. According to this embodiment, the above-described morphing image or compressed motion picture can be obtained with a further increased degree of freedom since this embodiment may provide effects in terms of time or space, or both, depending on the number of images used.

Still another embodiment of the present invention relates also to a digital camera that utilizes image matching in terms of space. In particular, this digital camera includes: an image pick-up unit which realizes a stereo view; a camera controller which controls the image pick-up unit so that a first image and a second image which constitutes a

stereo image are captured by the image pick-up unit; and a matching processor which computes a matching between the first image and the second image, and which then outputs a matching-computed result as a corresponding point file. Thus, a  
5 special-effect image and a viewpoint-changed image can be generated based on this corresponding point file. This is because depth information on each point of the image can be determined based on the corresponding points of the stereo image.

10 The digital camera of the embodiments described above may further include an intermediate image generator which generates an intermediate image between the first image and the second image, based on the corresponding point file. The intermediate image is an interpolation image with respect to  
15 time or space, or both as the case may be. Moreover, the digital camera may further include a display unit which displays the first image, the second image and the intermediate image as a motion picture, an intermediate viewpoint image and so forth. Still further, the digital  
20 camera may further include a corresponding point file storage, such as an IC card and other memory cards, which records in a manner such that the first image, the second image and the corresponding point file are associated with one another, or further include a control circuit therefor.

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In the above embodiments, the matching processor may compute the matching result by detecting points on the second image that correspond to lattice points of a mesh provided on the first image, and based on a thus detected correspondence, determine a destination polygon in the second image corresponding to a source polygon of the mesh on the first image. Alternatively, the matching processor may detect, by an image matching, points on the second image that correspond to lattice points of a mesh provided on the first image, and based on a thus detected correspondence, a destination polygon in the second image may be defined on a source polygon of the mesh on the first image. In particular, the matching processor may perform a pixel-by-pixel matching computation between the first image and the second image which may be performed on all of the pixels, lattice points only, or the lattice points and some set of related pixels.

20  
Further, the matching processor may perform a pixel-by-pixel matching computation based on correspondence between a critical point detected through a two-dimensional search on the first image and a critical point detected through a two-dimensional search on the second image. In this case, the first image and the second image may first be multi-resolutionalized by respectively extracting the critical points and a pixel-by-pixel matching computation between same

multiresolution levels may be performed so that a pixel-by-pixel correspondence relation in a most fine level of resolution at a final stage may be acquired while inheriting a result of the pixel-by-pixel matching computation in a  
5 different multiresolution level.

The above-described matching method utilizing the critical points is an application of the technology (hereinafter referred to as the "premised technology") proposed in Japanese Patent No. 2927350 and owned by the same assignees of the present invention, and is suitable for processing by the matching processor. However, the premised technology does not at all touch on the features of the present invention relating to the lattice points or the polygons determined thereby. Introduction of such a  
10 simplified technique as the polygons in the present invention allow significant reduction of the size of the corresponding point file.

In particular, in a case where the first and second images have  $n \times m$  pixels respectively, there are  $(n \times m)^2$   
20 combinations if their pixel-by-pixel correspondence is described as it is, so that the size of the corresponding point file will become extremely large. However, if this correspondence is modified by describing the correspondence relation between the lattice points or, similarly, the

correspondence relation between polygons determined by the lattice points, so that the data amount is reduced significantly. Overall, only the first image, the second image and the corresponding point file are needed to achieve reproduction of a motion picture, thereby significantly improved transmission, storage and so forth of a motion picture or image effects can be achieved. This technology is suitable for a digital camera which has a limited storage capacity for the images.

It is to be noted that the premised technology is not a necessary prerequisite for the present invention. Moreover, any arbitrary replacement or substitution of the above-described structural components may be made, including being replaced or substituted in part or whole between a method and an apparatus, as well as addition thereto, and expressions of elements may be changed to a computer program, recording medium or the like, and are all effective as and encompassed by the present invention.

Moreover, this summary of the invention does not necessarily describe all necessary features so that the invention may also be sub-combination of these described features and is defined by the claims.

#### BRIEF DESCRIPTION OF THE DRAWINGS



Fig. 1(a) is an image obtained as a result of the application of an averaging filter to a human facial image.

Fig. 1(b) is an image obtained as a result of the application of an averaging filter to another human facial image.

Fig. 1(c) is an image of a human face at  $p^{(5,0)}$  obtained in a preferred embodiment in the premised technology.

Fig. 1(d) is another image of a human face at  $p^{(5,0)}$  obtained in a preferred embodiment in the premised technology.

Fig. 1(e) is an image of a human face at  $p^{(5,1)}$  obtained in a preferred embodiment in the premised technology.

Fig. 1(f) is another image of a human face at  $p^{(5,1)}$  obtained in a preferred embodiment in the premised technology.

Fig. 1(g) is an image of a human face at  $p^{(5,2)}$  obtained in a preferred embodiment in the premised technology.

Fig. 1(h) is another image of a human face at  $p^{(5,2)}$  obtained in a preferred embodiment in the premised technology.

Fig. 1(i) is an image of a human face at  $p^{(5,3)}$  obtained in a preferred embodiment in the premised technology.

Fig. 1(j) is another image of a human face at  $p^{(5,3)}$  obtained in a preferred embodiment in the premised technology.

Fig. 2(R) shows an original quadrilateral.

Fig. 2(A) shows an inherited quadrilateral.

Fig. 2(B) shows an inherited quadrilateral.

Fig. 2(C) shows an inherited quadrilateral.

Fig. 2(D) shows an inherited quadrilateral.

Fig. 2(E) shows an inherited quadrilateral.

5 Fig. 3 is a diagram showing the relationship between a source image and a destination image and that between the m-th level and the (m-1)th level, using a quadrilateral.

Fig. 4 shows the relationship between a parameter  $\eta$  (represented by x-axis) and energy  $C_e$  (represented by y-axis).

10 Fig. 5(a) is a diagram illustrating determination of whether or not the mapping for a certain point satisfies the bijectivity condition through the outer product computation.

15 Fig. 5(b) is a diagram illustrating determination of whether or not the mapping for a certain point satisfies the bijectivity condition through the outer product computation.

Fig. 6 is a flowchart of the entire procedure of a preferred embodiment in the premised technology.

Fig. 7 is a flowchart showing the details of the process at S1 in Fig. 6.

20 Fig. 8 is a flowchart showing the details of the process at S10 in Fig. 7.

Fig. 9 is a diagram showing correspondence between partial images of the m-th and (m-1)th levels of resolution.

Fig. 10 is a diagram showing source images generated in

the embodiment in the premised technology.

Fig. 11 is a flowchart of a preparation procedure for S2 in Fig. 6.

Fig. 12 is a flowchart showing the details of the  
5 process at S2 in Fig. 6.

Fig. 13 is a diagram showing the way a submapping is determined at the 0-th level.

Fig. 14 is a diagram showing the way a submapping is determined at the first level.

Fig. 15 is a flowchart showing the details of the  
10 process at S21 in Fig. 6.

Fig. 16 is a graph showing the behavior of energy  $C_f^{(m,s)}$  corresponding to  $f^{(m,s)}$  ( $\lambda = i\Delta\lambda$ ) which has been obtained for a certain  $f^{(m,s)}$  while changing  $\lambda$ .

15 Fig. 17 is a diagram showing the behavior of energy  $C_f^{(n)}$  corresponding to  $f^{(n)}$  ( $\eta = i\Delta\eta$ ) ( $i = 0, 1, \dots$ ) which has been obtained while changing  $\eta$ .

Fig. 18 shows how certain pixels correspond between the first image and the second image.

20 Fig. 19 shows a correspondence relation between a source polygon taken on the first image and a destination polygon taken on the second image.

Fig. 20 shows a procedure by which to obtain points in

the destination polygon corresponding to points in the source polygon.

Fig. 21 is a flowchart showing a procedure for generating the corresponding point file according to a present  
5 embodiment.

Fig. 22 is a flowchart showing a procedure for generating an intermediate image based on the corresponding point file.

Fig. 23 shows a structure of an image-effect apparatus according to an embodiment.

Fig. 24 shows a structure of a digital camera according to an embodiment.

Fig. 25 shows a structure of the image pick-up unit of the digital camera shown in Fig. 24.

Fig. 26 shows another structure of the image pick-up unit of the digital camera shown in Fig. 24.

#### DETAILED DESCRIPTION OF THE INVENTION

20 The invention will now be described based on the preferred embodiments, which do not intend to limit the scope of the present invention, but exemplify the invention. All of the features and the combinations thereof described in the embodiment are not necessarily essential to the invention.

First, the multiresolutional critical point filter technology and the image matching processing using the technology, both of which will be utilized in the preferred embodiments, will be described in detail as "Premised Technology". Namely, the following sections [1] and [2] (below) belong to the premised technology, where section [1] describes elemental techniques and section [2] describes a processing procedure. These techniques are patented under Japanese Patent No. 2927350 and owned by the same assignees of the present invention. As described in more detail below following the discussion of the premised technology, according to embodiments of the present invention there is provided a mesh on an image, so that lattice points of the mesh represent a plurality of pixels of the image. Thus, even though application efficiency for a pixel-by-pixel matching technique as described in the premised technology is naturally high, it is to be noted that the image matching techniques provided in the present embodiments are not limited to the same levels. In particular, in Figs. 18 to 26, image effects techniques and digital cameras representing embodiments of the present invention and utilizing the premised technology will be described in more detail.

#### **Premised Technology**

## [1] Detailed description of elemental techniques

### [1.1] Introduction

Using a set of new multiresolutional filters called critical point filters, image matching is accurately computed.

5 There is no need for any prior knowledge concerning the content of the images or objects in question. The matching of the images is computed at each resolution while proceeding through the resolution hierarchy. The resolution hierarchy proceeds from a coarse level to a fine level. Parameters necessary for the computation are set completely automatically by dynamical computation analogous to human visual systems. Thus, There is no need to manually specify the correspondence of points between the images.

10 The premised technology can be applied to, for instance, completely automated morphing, object recognition, stereo photogrammetry, volume rendering, and smooth generation of motion images from a small number of frames. When applied to morphing, given images can be automatically transformed. When applied to volume rendering, intermediate images between cross  
20 sections can be accurately reconstructed, even when a distance between cross sections is rather large and the cross sections vary widely in shape.

### [1.2] The hierarchy of the critical point filters

The multiresolutional filters according to the premised technology preserve the intensity and location of each critical point included in the images while reducing the resolution. Initially, let the width of an image to be examined be  $N$  and the height of the image be  $M$ . For simplicity, assume that  $N=M=2n$  where  $n$  is a positive integer. An interval  $[0, N] \subset \mathbb{R}$  is denoted by  $I$ . A pixel of the image at position  $(i, j)$  is denoted by  $p^{(i,j)}$  where  $i, j \in I$ .

Here, a multiresolutional hierarchy is introduced:

Hierarchized image groups are produced by a multiresolutional filter. The multiresolutional filter carries out a two dimensional search on an original image and detects critical points therefrom. The multiresolutional filter then extracts the critical points from the original image to construct another image having a lower resolution. Here, the size of each of the respective images of the  $m$ -th level is denoted as  $2^m \times 2^m$  ( $0 < m < n$ ). A critical point filter constructs the following four new hierarchical images recursively, in the direction descending from  $n$ .

$$\begin{aligned} p_{(i,j)}^{(m,0)} &= \min(\min(p_{(2i,2j)}^{(m+1,0)}, p_{(2i,2j+1)}^{(m+1,0)}), \min(p_{(2i+1,2j)}^{(m+1,0)}, p_{(2i+1,2j+1)}^{(m+1,0)})) \\ p_{(i,j)}^{(m,1)} &= \max(\min(p_{(2i,2j)}^{(m+1,1)}, p_{(2i,2j+1)}^{(m+1,1)}), \min(p_{(2i+1,2j)}^{(m+1,1)}, p_{(2i+1,2j+1)}^{(m+1,1)})) \\ p_{(i,j)}^{(m,2)} &= \min(\max(p_{(2i,2j)}^{(m+1,2)}, p_{(2i,2j+1)}^{(m+1,2)}), \max(p_{(2i+1,2j)}^{(m+1,2)}, p_{(2i+1,2j+1)}^{(m+1,2)})) \\ p_{(i,j)}^{(m,3)} &= \max(\max(p_{(2i,2j)}^{(m+1,3)}, p_{(2i,2j+1)}^{(m+1,3)}), \max(p_{(2i+1,2j)}^{(m+1,3)}, p_{(2i+1,2j+1)}^{(m+1,3)})) \end{aligned}$$

--- (1)

where we let

$$P_{(i,j)}^{(n,0)} = P_{(i,j)}^{(n,1)} = P_{(i,j)}^{(n,2)} = P_{(i,j)}^{(n,3)} = P_{(i,j)} \quad \text{--- (2)}$$

The above four images are referred to as subimages hereinafter. When  $\min_{x \leq t \leq x+1}$  and  $\max_{x \leq t \leq x+1}$  are abbreviated to  $\alpha$  and  $\beta$ , respectively, the subimages can be expressed as follows:

$$P^{(m,0)} = \alpha(x)\alpha(y)p^{(m+1,0)}$$

$$P^{(m,1)} = \alpha(x)\beta(y)p^{(m+1,1)}$$

$$P^{(m,2)} = \beta(x)\alpha(y)p^{(m+1,2)}$$

$$P^{(m,2)} = \beta(x)\beta(y)p^{(m+1,3)}$$

Namely, they can be considered analogous to the tensor products of  $\alpha$  and  $\beta$ . The subimages correspond to the respective critical points. As is apparent from the above equations, the critical point filter detects a critical point of the original image for every block consisting of 2 X 2 pixels. In this detection, a point having a maximum pixel value and a point having a minimum pixel value are searched with respect to two directions, namely, vertical and horizontal directions, in each block. Although pixel intensity is used as a pixel value in this premised technology, various other values relating to the image may be used. A pixel having the maximum pixel values for the two directions, one having minimum pixel values for the two directions, and one having a minimum pixel value for one direction and a maximum pixel value for the other direction



are detected as a local maximum point, a local minimum point, and a saddle point, respectively.

By using the critical point filter, an image (1 pixel here) of a critical point detected inside each of the  
 5 respective blocks serves to represent its block image (4 pixels here) in the next lower resolution level. Thus, the resolution of the image is reduced. From a singularity theoretical point of view,  $\alpha(x)\alpha(y)$  preserves the local minimum point (minima point),  $\beta(x)\beta(y)$  preserves the local  
 10 maximum point (maxima point),  $\alpha(x)\beta(y)$  and  $\beta(x)\alpha(y)$  preserve the saddle points.

At the beginning, a critical point filtering process is applied separately to a source image and a destination image which are to be matching-computed. Thus, a series of image  
 15 groups, namely, source hierarchical images and destination hierarchical images are generated. Four source hierarchical images and four destination hierarchical images are generated corresponding to the types of the critical points.

Thereafter, the source hierarchical images and the  
 20 destination hierarchical images are matched in a series of resolution levels. First, the minima points are matched using  $p^{(m,0)}$ . Next, the first saddle points are matched using  $p^{(m,1)}$  based on the previous matching result for the minima points. The second saddle points are matched using  $p^{(m,2)}$ . Finally,

the maxima points are matched using  $p^{(m,3)}$ .

Figs. 1c and 1d show the subimages  $p^{(5,0)}$  of the images in Figs. 1a and 1b, respectively. Similarly, Figs. 1e and 1f show the subimages  $p^{(5,1)}$ , Figs. 1g and 1h show the subimages  $p^{(5,2)}$ , and Figs. 1i and 1j show the subimages  $p^{(5,3)}$ .

Characteristic parts in the images can be easily matched using subimages. The eyes can be matched by  $p^{(5,0)}$  since the eyes are the minima points of pixel intensity in a face. The mouths can be matched by  $p^{(5,1)}$  since the mouths have low intensity in the horizontal direction. Vertical lines on both sides of the necks become clear by  $p^{(5,2)}$ . The ears and bright parts of the cheeks become clear by  $p^{(5,3)}$  since these are the maxima points of pixel intensity.

As described above, the characteristics of an image can be extracted by the critical point filter. Thus, by comparing, for example, the characteristics of an image shot by a camera with the characteristics of several objects recorded in advance, an object shot by the camera can be identified.

### [1.3] Computation of mapping between images

Now, for matching images, a pixel of the source image at the location  $(i,j)$  is denoted by  $p_{(i,j)}^{(n)}$  and that of the destination image at  $(k,l)$  is denoted by  $q_{(k,l)}^{(n)}$  where  $i, j, k, l$

$\in I$ . The energy of the mapping between the images (described later in more detail) is then defined. This energy is determined by the difference in the intensity of the pixel of the source image and its corresponding pixel of the

destination image and the smoothness of the mapping. First, the mapping  $f^{(m,0)}: p^{(m,0)} \rightarrow q^{(m,0)}$  between  $p^{(m,0)}$  and  $q^{(m,0)}$  with the minimum energy is computed. Based on  $f^{(m,0)}$ , the mapping  $f^{(m,1)}$  between  $p^{(m,1)}$  and  $q^{(m,1)}$  with the minimum energy is computed. This process continues until  $f^{(m,3)}$  between  $p^{(m,3)}$  and  $q^{(m,3)}$  is computed. Each  $f^{(m,i)}$  ( $i = 0, 1, 2, \dots$ ) is referred to as a submapping. The order of  $i$  will be rearranged as shown in the following equation (3) in computing  $f^{(m,i)}$  for reasons to be described later.

$$f^{(m,i)}: p^{(m,\sigma(i))} \rightarrow q^{(m,\sigma(i))} \quad \text{--- (3)}$$

where  $\sigma(i) \in \{0, 1, 2, 3\}$ .

### [1. 3. 1] Bijectivity

When the matching between a source image and a destination image is expressed by means of a mapping, that mapping shall satisfy the Bijectivity Conditions (BC) between the two images (note that a one-to-one surjective mapping is called a bijection). This is because the respective images should be connected satisfying both surjection and injection, and there is no conceptual supremacy existing between these

images. It is to be noted that the mappings to be constructed here are the digital version of the bijection. In the premised technology, a pixel is specified by a co-ordinate point.

5 The mapping of the source subimage (a subimage of a source image) to the destination subimage (a subimage of a destination image) is represented by  $f^{(m,s)}: I/2^{n-m} \times I/2^{n-m} \rightarrow I/2^{n-m} \times I/2^{n-m}$  ( $s = 0, 1, \dots$ ), where  $f_{(i,j)}^{(m,s)} = (k,l)$  means that  $p_{(i,j)}^{(m,s)}$  of the source image is mapped to  $q_{(k,l)}^{(m,s)}$  of the destination image.

10 For simplicity, when  $f(i,j) = (k,l)$  holds, a pixel  $q_{(k,l)}$  is denoted by  $q_{f(i,j)}$ .

When the data sets are discrete as image pixels (grid points) treated in the premised technology, the definition of bijectivity is important. Here, the bijection will be defined

15 in the following manner, where  $i, j, k$  and  $l$  are all integers. First, a square region  $R$  defined on the source image plane is considered

$$p_{(i,j)}^{(m,s)} p_{(i+1,j)}^{(m,s)} p_{(i+1,j+1)}^{(m,s)} p_{(i,j+1)}^{(m,s)} \quad \text{--- (4)}$$

where  $i = 0, \dots, 2^m-1$ , and  $j = 0, \dots, 2^m-1$ . The edges of  $R$  are

20 directed as follows:

$$\overrightarrow{p_{(i,j)}^{(m,s)} p_{(i+1,j)}^{(m,s)}}, \overrightarrow{p_{(i+1,j)}^{(m,s)} p_{(i+1,j+1)}^{(m,s)}}, \overrightarrow{p_{(i+1,j+1)}^{(m,s)} p_{(i,j+1)}^{(m,s)}} \quad \text{and} \quad \overrightarrow{p_{(i,j+1)}^{(m,s)} p_{(i,j)}^{(m,s)}} \quad \text{--- (5)}$$

This square region  $R$  will be mapped by  $f$  to a quadrilateral on the destination image plane:

$$q_{f(i,j)}^{(m,s)} q_{f(i+1,j)}^{(m,s)} q_{f(i+1,j+1)}^{(m,s)} q_{f(i,j+1)}^{(m,s)} \quad \text{--- (6)}$$

This mapping  $f^{(m,s)}(R)$ , that is,

$$f^{(m,s)}(R) = f^{(m,s)}(p_{(i,j)}^{(m,s)} p_{(i+1,j)}^{(m,s)} p_{(i+1,j+1)}^{(m,s)} p_{(i,j+1)}^{(m,s)}) = q_{f(i,j)}^{(m,s)} q_{f(i+1,j)}^{(m,s)} q_{f(i+1,j+1)}^{(m,s)} q_{f(i,j+1)}^{(m,s)}$$

should satisfy the following bijectivity conditions (referred

to as BC hereinafter):

1. The edges of the quadrilateral  $f^{(m,s)}(R)$  should not intersect one another.
2. The orientation of the edges of  $f^{(m,s)}(R)$  should be the same as that of  $R$  (clockwise in the case shown in Fig. 2, described below).
3. As a relaxed condition, a retraction mapping is allowed.

Without a certain type of a relaxed condition as in, for example, condition 3 above, there would be no mappings which completely satisfy the BC other than a trivial identity

mapping. Here, the length of a single edge of  $f^{(m,s)}(R)$  may be zero. Namely,  $f^{(m,s)}(R)$  may be a triangle. However,  $f^{(m,s)}(R)$  is not allowed to be a point or a line segment having area zero. Specifically speaking, if Fig. 2R is the original quadrilateral, Figs. 2A and 2D satisfy the BC while Figs 2B, 2C and 2E do not satisfy the BC.

In actual implementation, the following condition may be further imposed to easily guarantee that the mapping is surjective. Namely, each pixel on the boundary of the source image is mapped to the pixel that occupies the same location

at the destination image. In other words,  $f(i,j)=(i,j)$  (on the four lines of  $i=0, i=2^m-1, j=0, j=2^m-1$ ). This condition will be hereinafter referred to as an additional condition.

### 5 [1. 3. 2] Energy of mapping

#### [1. 3. 2. 1] Cost related to the pixel intensity

The energy of the mapping  $f$  is defined. An objective here is to search a mapping whose energy becomes minimum. The energy is determined mainly by the difference in the intensity between the pixel of the source image and its corresponding pixel of the destination image. Namely, the energy  $C_{(i,j)}^{(m,s)}$  of the mapping  $f^{(m,s)}$  at  $(i,j)$  is determined by the following equation (7).

$$C_{(i,j)}^{(m,s)} = |V(p_{(i,j)}^{(m,s)}) - V(q_{f(i,j)}^{(m,s)})|^2 \quad \text{--- (7)}$$

15 where  $V(p_{(i,j)}^{(m,s)})$  and  $V(q_{f(i,j)}^{(m,s)})$  are the intensity values of the pixels  $p_{(i,j)}^{(m,s)}$  and  $q_{f(i,j)}^{(m,s)}$ , respectively. The total energy  $C^{(m,s)}$  of  $f$  is a matching evaluation equation, and can be defined as the sum of  $C_{(i,j)}^{(m,s)}$  as shown in the following equation (8).

$$C_f^{(m,s)} = \sum_{i=0}^{i=2^m-1} \sum_{j=0}^{j=2^m-1} C_{(i,j)}^{(m,s)} \quad \text{--- (8)}$$

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#### [1. 3. 2. 2] Cost related to the locations of the pixel for smooth mapping

In order to obtain smooth mappings, another energy  $D_e$  for the mapping is introduced. The energy  $D_e$  is determined by the locations of  $p_{(i,j)}^{(m,s)}$  and  $q_{f(i,j)}^{(m,s)}$  ( $i=0,1,\dots,2^m-1$ ,  $j=0,1,\dots,2^m-1$ ), regardless of the intensity of the pixels. The energy  $D_{(i,j)}^{(m,s)}$  of the mapping  $f^{(m,s)}$  at a point  $(i,j)$  is determined by the following equation (9).

$$D_{(i,j)}^{(m,s)} = \eta E_{0(i,j)}^{(m,s)} + E_{1(i,j)}^{(m,s)} \quad \text{--- (9)}$$

where the coefficient parameter  $\eta$  which is equal to or greater than 0 is a real number. And we have

$$E_{0(i,j)}^{(m,s)} = \| (i,j) - f^{(m,s)}(i,j) \|^2 \quad \text{--- (10)}$$

$$E_{1(i,j)}^{(m,s)} = \sum_{i'=i-1}^i \sum_{j'=j-1}^j \| (f^{(m,s)}(i,j) - (i,j)) - (f^{(m,s)}(i',j') - (i',j')) \|^2 / 4 \quad \text{--- (11)}$$

where

$$\|(x,y)\| = \sqrt{x^2 + y^2} \quad \text{--- (12)},$$

$i'$  and  $j'$  are integers and  $f(i',j')$  is defined to be zero for  $i' < 0$  and  $j' < 0$ .  $E_0$  is determined by the distance between  $(i,j)$  and  $f(i,j)$ .  $E_0$  prevents a pixel from being mapped to a pixel too far away from it. However, as explained below,  $E_0$  can be replaced by another energy function.  $E_1$  ensures the smoothness of the mapping.  $E_1$  represents a distance between the displacement of  $p(i,j)$  and the displacement of its neighboring points. Based on the above consideration, another evaluation equation for evaluating the matching, or the energy

$D_f$  is determined by the following equation:

$$D_f^{(m,s)} = \sum_{i=0}^{i=2^m-1} \sum_{j=0}^{j=2^m-1} D_{(i,j)}^{(m,s)} \quad \text{--- (13)}$$

### [1. 3. 2. 3] Total energy of the mapping

The total energy of the mapping, that is, a combined evaluation equation which relates to the combination of a plurality of evaluations, is defined as  $\lambda C_f^{(m,s)} + D_f^{(m,s)}$ , where  $\lambda \geq 0$  is a real number. The goal is to detect a state in which the combined evaluation equation has an extreme value, namely, to find a mapping which gives the minimum energy expressed by the following:

$$\min_f \{ \lambda C_f^{(m,s)} + D_f^{(m,s)} \} \quad \text{--- (14)}$$

Care must be exercised in that the mapping becomes an identity mapping if  $\lambda=0$  and  $\eta=0$  (i.e.,  $f^{(m,s)}(i,j)=(i,j)$  for all  $i=0,1,\dots,2^m-1$  and  $j=0,1,\dots,2^m-1$ ). As will be described later, the mapping can be gradually modified or transformed from an identity mapping since the case of  $\lambda=0$  and  $\eta=0$  is evaluated at the outset in the premised technology. If the combined evaluation equation is defined as  $C_f^{(m,s)} + \lambda D_f^{(m,s)}$  where the original position of  $\lambda$  is changed as such, the equation with  $\lambda=0$  and  $\eta=0$  will be  $C_f^{(m,s)}$  only. As a result thereof, pixels would randomly matched to each other only because their pixel



intensities are close, thus making the mapping totally meaningless. Transforming the mapping based on such a meaningless mapping makes no sense. Thus, the coefficient parameter is so determined that the identity mapping is initially selected for the evaluation as the best mapping.

Similar to this premised technology, differences in the pixel intensity and smoothness are considered in a technique called "optical flow" that is known in the art. However, the optical flow technique cannot be used for image transformation since the optical flow technique takes into account only the local movement of an object. However, global correspondence can also be detected by utilizing the critical point filter according to the premised technology.

### [1. 3. 3] Determining the mapping with multiresolution

A mapping  $f_{\min}$  which gives the minimum energy and satisfies the BC is searched by using the multiresolution hierarchy. The mapping between the source subimage and the destination subimage at each level of the resolution is computed. Starting from the top of the resolution hierarchy (i.e., the coarsest level), the mapping is determined at each resolution level, and where possible, mappings at other levels are considered. The number of candidate mappings at each level is restricted by using the mappings at an upper (i.e.,

coarser) level of the hierarchy. More specifically speaking, in the course of determining a mapping at a certain level, the mapping obtained at the coarser level by one is imposed as a sort of constraint condition.

We thus define a parent and child relationship between resolution levels. When the following equation (15) holds,

$$(i', j') = \left( \left\lfloor \frac{i}{2} \right\rfloor, \left\lfloor \frac{j}{2} \right\rfloor \right) \quad \text{--- (15),}$$

where  $\lfloor x \rfloor$  denotes the largest integer not exceeding  $x$ ,

$p_{(i', j')}^{(m-1, s)}$  and  $q_{(i', j')}^{(m-1, s)}$  are called the parents of  $p_{(i, j)}^{(m, s)}$  and  $q_{(i, j)}^{(m, s)}$ , respectively. Conversely,  $p_{(i, j)}^{(m, s)}$  and  $q_{(i, j)}^{(m, s)}$  are the child of  $p_{(i', j')}^{(m-1, s)}$  and the child of  $q_{(i', j')}^{(m-1, s)}$ , respectively. A function parent( $i, j$ ) is defined by the following equation (16):

$$\text{parent}(i, j) = \left( \left\lfloor \frac{i}{2} \right\rfloor, \left\lfloor \frac{j}{2} \right\rfloor \right) \quad \text{--- (16)}$$

Now, a mapping between  $p_{(i, j)}^{(m, s)}$  and  $q_{(k, l)}^{(m, s)}$  is determined by computing the energy and finding the minimum thereof. The value of  $f^{(m, s)}(i, j) = (k, l)$  is determined as follows using  $f^{(m-1, s)}$  ( $m=1, 2, \dots, n$ ). First of all, a condition is imposed that  $q_{(k, l)}^{(m, s)}$  should lie inside a quadrilateral defined by the following definitions (17) and (18). Then, the applicable mappings are narrowed down by selecting ones that are thought to be reasonable or natural among them satisfying the BC.

$$q_{g^{(m,s)}(i-1,j-1)}^{(m,s)} q_{g^{(m,s)}(i-1,j+1)}^{(m,s)} q_{g^{(m,s)}(i+1,j+1)}^{(m,s)} q_{g^{(m,s)}(i+1,j-1)}^{(m,s)} \quad \text{--- (17)}$$

where

$$g^{(m,s)}(i,j) = f^{(m-1,s)}(\text{parent}(i,j)) + f^{(m-1,s)}(\text{parent}(i,j) + (1,1)) \quad \text{--- (18)}$$

The quadrilateral defined above is hereinafter referred to as the inherited quadrilateral of  $p_{(i,j)}^{(m,s)}$ . The pixel minimizing the energy is sought and obtained inside the inherited quadrilateral.

Fig. 3 illustrates the above-described procedures. The pixels A, B, C and D of the source image are mapped to A', B', C' and D' of the destination image, respectively, at the (m-1)th level in the hierarchy. The pixel  $p_{(i,j)}^{(m,s)}$  should be mapped to the pixel  $q_{f^{(m,s)}(i,j)}^{(m,s)}$  which exists inside the inherited quadrilateral A'B'C'D'. Thereby, bridging from the mapping at the (m-1)th level to the mapping at the m-th level is achieved.

The energy  $E_0$  defined above may now be replaced by the following equations (19) and (20):

$$E_{0(i,j)} = \|f^{(m,0)}(i,j) - g^{(m)}(i,j)\|^2 \quad \text{--- (19)}$$

$$E_{0(i,j)} = \|f^{(m,s)}(i,j) - f^{(m,s-1)}(i,j)\|^2, (1 \leq i) \quad \text{--- (20)}$$

for computing the submapping  $f^{(m,0)}$  and the submapping  $f^{(m,s)}$  at the m-th level, respectively.

In this manner, a mapping which maintains a low energy

of all the submappings is obtained. Using the equation (20) makes the submappings corresponding to the different critical points associated to each other within the same level in order that the subimages can have high similarity. The equation (19) represents the distance between  $f^{(m,s)}(i,j)$  and the location where  $(i,j)$  should be mapped when regarded as a part of a pixel at the  $(m-1)$ the level.

When there is no pixel satisfying the BC inside the inherited quadrilateral  $A'B'C'D'$ , the following steps are taken. First, pixels whose distance from the boundary of  $A'B'C'D'$  is  $L$  (at first,  $L=1$ ) are examined. If a pixel whose energy is the minimum among them satisfies the BC, then this pixel will be selected as a value of  $f^{(m,s)}(i,j)$ .  $L$  is increased until such a pixel is found or  $L$  reaches its upper bound  $L_{\max}^{(m)}$ .  $L_{\max}^{(m)}$  is fixed for each level  $m$ . If no pixel is found at all, the third condition of the BC is ignored temporarily and such mappings that caused the area of the transformed quadrilateral to become zero (a point or a line) will be permitted so as to determine  $f^{(m,s)}(i,j)$ . If such a pixel is still not found, then the first and the second conditions of the BC will be removed.

Multiresolution approximation is essential to determining the global correspondence of the images while preventing the mapping from being affected by small details of

the images. Without the multiresolution approximation, it is impossible to detect a correspondence between pixels whose distances are large. In the case where the multiresolution approximation is not available, the size of an image will generally be limited to a very small size, and only tiny changes in the images can be handled. Moreover, imposing smoothness on the mapping usually makes it difficult to find the correspondence of such pixels. That is because the energy of the mapping from one pixel to another pixel which is far therefrom is high. On the other hand, the multiresolution approximation enables finding the approximate correspondence of such pixels. This is because the distance between the pixels is small at the upper (coarser) level of the hierarchy of the resolution.

#### [1. 4] Automatic determination of the optimal parameter values

One of the main deficiencies of the existing image matching techniques lies in the difficulty of parameter adjustment. In most cases, the parameter adjustment is performed manually and it is extremely difficult to select the optimal value. However, according to the premised technology, the optimal parameter values can be obtained completely automatically.

The systems according to this premised technology

include two parameters, namely,  $\lambda$  and  $\eta$ , where  $\lambda$  and  $\eta$  represent the weight of the difference of the pixel intensity and the stiffness of the mapping, respectively. In order to automatically determine these parameters, they are initially set to 0. First,  $\lambda$  is gradually increased from  $\lambda=0$  while  $\eta$  is fixed at 0. As  $\lambda$  becomes larger and the value of the combined evaluation equation (equation (14)) is minimized, the value of  $C_f^{(m,s)}$  for each submapping generally becomes smaller. This basically means that the two images are matched better.

However, if  $\lambda$  exceeds the optimal value, the following phenomena occur:

1. Pixels which should not be corresponded are erroneously corresponded only because their intensities are close.
2. As a result, correspondence between images becomes inaccurate, and the mapping becomes invalid.
3. As a result,  $D_f^{(m,s)}$  in equation (14) tends to increase abruptly.
4. As a result, since the value of equation (14) tends to increase abruptly,  $f^{(m,s)}$  changes in order to suppress the abrupt increase of  $D_f^{(m,s)}$ . As a result,  $C_f^{(m,s)}$  increases.

Therefore, a threshold value at which  $C_f^{(m,s)}$  turns to an increase from a decrease is detected while a state in which equation (14) takes the minimum value with  $\lambda$  being increased

is kept. Such  $\lambda$  is determined as the optimal value at  $\eta=0$ .  
 Next, the behavior of  $C_f^{(m,i)}$  is examined while  $\eta$  is increased  
 gradually, and  $\eta$  will be automatically determined by a method  
 described later.  $\lambda$  will then again be determined  
 5 corresponding to such an automatically determined  $\eta$ .

The above-described method resembles the focusing  
 mechanism of human visual systems. In the human visual  
 systems, the images of the respective right eye and left eye  
 are matched while moving one eye. When the objects are  
 clearly recognized, the moving eye is fixed.

#### [1. 4. 1] Dynamic determination of $\lambda$

Initially,  $\lambda$  is increased from 0 at a certain interval,  
 and a subimage is evaluated each time the value of  $\lambda$  changes.

15 As shown in equation (14), the total energy is defined by

$\lambda C_f^{(m,i)} + D_{(i,j)}^{(m,i)}$ .  $D_{(i,j)}^{(m,i)}$  in equation (9) represents the smoothness  
 and theoretically becomes minimum when it is the identity  
 mapping.  $E_0$  and  $E_1$  increase as the mapping is further  
 distorted. Since  $E_1$  is an integer, 1 is the smallest step of  
 20  $D_{(i,j)}^{(m,i)}$ . Thus, it is impossible to change the mapping to reduce  
 the total energy unless a changed amount (reduction amount) of  
 the current  $\lambda C_{(i,j)}^{(m,i)}$  is equal to or greater than 1. Since  $D_{(i,j)}^{(m,i)}$   
 increases by more than 1 accompanied by the change of the

mapping, the total energy is not reduced unless  $\lambda C_{(i,j)}^{(m,s)}$  is reduced by more than 1.

Under this condition, it is shown that  $C_{(i,j)}^{(m,s)}$  decreases in normal cases as  $\lambda$  increases. The histogram of  $C_{(i,j)}^{(m,s)}$  is denoted as  $h(l)$ , where  $h(l)$  is the number of pixels whose energy  $C_{(i,j)}^{(m,s)}$  is  $l^2$ . In order that  $\lambda l^2 \geq 1$ , for example, the case of  $l^2 = 1/\lambda$  is considered. When  $\lambda$  varies from  $\lambda_1$  to  $\lambda_2$ , a number of pixels (denoted  $A$ ) expressed by the following equation (21):

$$A = \sum_{l=\frac{1}{\lambda_2}}^{\left\lceil \frac{1}{\lambda_1} \right\rceil} h(l) \cong \int_{l=\frac{1}{\lambda_2}}^{\frac{1}{\lambda_1}} h(l) dl = - \int_{\lambda_2}^{\lambda_1} h(l) \frac{1}{\lambda^{3/2}} d\lambda = \int_{\lambda_1}^{\lambda_2} \frac{h(l)}{\lambda^{3/2}} d\lambda \quad \text{--- (21)}$$

changes to a more stable state having the energy shown in equation (22):

$$C_f^{(m,s)} - l^2 = C_f^{(m,s)} - \frac{1}{\lambda}. \quad \text{--- (22)}$$

Here, it is assumed that the energy of these pixels is approximated to be zero. This means that the value of  $C_{(i,j)}^{(m,s)}$

changes by:

$$\partial C_f^{(m,s)} = -\frac{A}{\lambda} \quad \text{--- (23)}$$

As a result, equation (24) holds.

$$\frac{\partial C_f^{(m,s)}}{\partial \lambda} = -\frac{h(l)}{\lambda^{3/2}} \quad \text{--- (24)}$$

Since  $h(l) > 0$ ,  $C_f^{(m,s)}$  decreases in the normal case. However,



when  $\lambda$  exceeds the optimal value, the above phenomenon, that is, an increase in  $C_f^{(m,s)}$  occurs. The optimal value of  $\lambda$  is determined by detecting this phenomenon.

When

$$h(I) = H I^k = \frac{H}{\lambda^{k/2}} \quad \text{--- (25)}$$

is assumed, where both  $H (H > 0)$  and  $k$  are constants, the equation (26) holds:

$$\frac{\partial C_f^{(m,s)}}{\partial \lambda} = -\frac{H}{\lambda^{3/2+k/2}} \quad \text{--- (26)}$$

Then, if  $k \neq -3$ , the following equation (27) holds:

$$C_f^{(m,s)} = C + \frac{H}{(3/2+k/2)\lambda^{3/2+k/2}} \quad \text{--- (27)}$$

The equation (27) is a general equation of  $C_f^{(m,s)}$  (where  $C$  is a constant).

When detecting the optimal value of  $\lambda$ , the number of pixels violating the BC may be examined for safety. In the course of determining a mapping for each pixel, the probability of violating the BC is assumed as a value  $p_0$  here. In this case, since

$$\frac{\partial A}{\partial \lambda} = \frac{h(I)}{\lambda^{3/2}} \quad \text{--- (28)}$$

holds, the number of pixels violating the BC increases at a rate of:

$$B_0 = \frac{h(l)p_0}{\lambda^{3/2}} \quad \text{--- (29)}$$

Thus,

$$\frac{B_0 \lambda^{3/2}}{p_0 h(l)} = 1 \quad \text{--- (30)}$$

is a constant. If it is assumed that  $h(l) = Hl^k$ , the following  
5 equation (31), for example,

$$B_0 \lambda^{3/2+k/2} = p_0 H \quad \text{--- (31)}$$

becomes a constant. However, when  $\lambda$  exceeds the optimal  
value, the above value of equation (31) increases abruptly.  
By detecting this phenomenon, i.e. whether or not the value of  
10  $B_0 \lambda^{3/2+k/2} / 2^m$  exceeds an abnormal value  $B_{0thres}$ , the optimal value  
of  $\lambda$  can be determined. Similarly, whether or not the value  
of  $B_1 \lambda^{3/2+k/2} / 2^m$  exceeds an abnormal value  $B_{1thres}$  can be used to  
check for an increasing rate  $B_1$  of pixels violating the third  
condition of the BC. The reason why the factor  $2^m$  is  
15 introduced here will be described at a later stage. This  
system is not sensitive to the two threshold values  $B_{0thres}$  and  
 $B_{1thres}$ . The two threshold values  $B_{0thres}$  and  $B_{1thres}$  can be used to  
detect excessive distortion of the mapping which may not be  
detected through observation of the energy  $C_f^{(m,s)}$ .

20 In the experimentation, when  $\lambda$  exceeded 0.1 the  
computation of  $f^{(m,s)}$  was stopped and the computation of  $f^{(m,s+1)}$

was started. That is because the computation of submappings is affected by a difference of only 3 out of 255 levels in pixel intensity when  $\lambda > 0.1$  and it is then difficult to obtain a correct result.

5

#### [1. 4. 2] Histogram $h(l)$

The examination of  $C_f^{(m,s)}$  does not depend on the histogram  $h(l)$ , however, the examination of the BC and its third condition may be affected by  $h(l)$ . When  $(\lambda, C_f^{(m,s)})$  is actually plotted,  $k$  is usually close to 1. In the experiment,  $k=1$  is used, that is,  $B_0\lambda^2$  and  $B_1\lambda^2$  are examined. If the true value of  $k$  is less than 1,  $B_0\lambda^2$  and  $B_1\lambda^2$  are not constants and increase gradually by a factor of  $\lambda^{(1-k)/2}$ . If  $h(l)$  is a constant, the factor is, for example,  $\lambda^{1/2}$ . However, such a difference can be absorbed by setting the threshold  $B_{0phres}$  appropriately.

Let us model the source image by a circular object, with its center at  $(x_0, y_0)$  and its radius  $r$ , given by:

$$p(i, j) = \begin{cases} \frac{255}{r} c(\sqrt{(i-x_0)^2 + (j-y_0)^2}) \dots (\sqrt{(i-x_0)^2 + (j-y_0)^2} \leq r) \\ 0 \dots (\text{otherwise}) \end{cases}$$

20

--- (32)

and the destination image given by:

$$q(i, j) = \begin{cases} \frac{255}{r} c(\sqrt{(i-x_1)^2 + (j-y_1)^2}) \dots (\sqrt{(i-x_1)^2 + (j-y_1)^2} \leq r) \\ 0 \dots (\text{otherwise}) \end{cases}$$

--- (33)

with its center at  $(x_1, y_1)$  and radius  $r$ . In the above, let  $c(x)$  have the form of  $c(x) = x^k$ . When the centers  $(x_0, y_0)$  and  $(x_1, y_1)$  are sufficiently far from each other, the histogram  $h(l)$  is then in the form:

$$h(l) \propto r l^k (k \neq 0) \quad \text{--- (34)}$$

When  $k=1$ , the images represent objects with clear boundaries embedded in the background. These objects become darker toward their centers and brighter toward their boundaries. When  $k=-1$ , the images represent objects with vague boundaries. These objects are brightest at their centers, and become darker toward their boundaries. Without much loss of generality, it suffices to state that objects in images are generally between these two types of objects. Thus, choosing  $k$  such that  $-1 \leq k \leq 1$  can cover most cases and the equation (27) is generally a decreasing function for this range.

As can be observed from the above equation (34), attention must be directed to the fact that  $r$  is influenced by the resolution of the image, that is,  $r$  is proportional to  $2^m$ . This is the reason for the factor  $2^m$  being introduced in the above section [1.4.1].

### [1. 4. 3] Dynamic determination of $\eta$

The parameter  $\eta$  can also be automatically determined in a similar manner. Initially,  $\eta$  is set to zero, and the final mapping  $f^{(n)}$  and the energy  $C_f^{(n)}$  at the finest resolution are computed. Then, after  $\eta$  is increased by a certain value  $\Delta\eta$ , the final mapping  $f^{(n)}$  and the energy  $C_f^{(n)}$  at the finest resolution are again computed. This process is repeated until the optimal value of  $\eta$  is obtained.  $\eta$  represents the stiffness of the mapping because it is a weight of the following equation (35):

$$E_{0(i,j)}^{(m,s)} = \|f^{(m,s)}(i,j) - f^{(m,s-1)}(i,j)\|^2 \quad \text{--- (35)}$$

If  $\eta$  is zero,  $D_f^{(n)}$  is determined irrespective of the previous submapping, and the present submapping may be elastically deformed and become too distorted. On the other hand, if  $\eta$  is a very large value,  $D_f^{(n)}$  is almost completely determined by the immediately previous submapping. The submappings are then very stiff, and the pixels are mapped to almost the same locations. The resulting mapping is therefore the identity mapping. When the value of  $\eta$  increases from 0,  $C_f^{(n)}$  gradually decreases as will be described later. However, when the value of  $\eta$  exceeds the optimal value, the energy

starts increasing as shown in Fig. 4. In Fig. 4, the x-axis represents  $\eta$ , and y-axis represents  $C_f$ .

The optimum value of  $\eta$  which minimizes  $C_f^{(n)}$  can be obtained in this manner. However, since various elements affect this computation as compared to the case of  $\lambda$ ,  $C_f^{(n)}$  changes while slightly fluctuating. This difference is caused because a submapping is re-computed once in the case of  $\lambda$  whenever an input changes slightly, whereas all the submappings must be re-computed in the case of  $\eta$ . Thus, whether the obtained value of  $C_f^{(n)}$  is the minimum or not cannot be determined as easily. When candidates for the minimum value are found, the true minimum needs to be searched by setting up further finer intervals.

#### [1. 5] Supersampling

When deciding the correspondence between the pixels, the range of  $f^{(m,s)}$  can be expanded to  $R \times R$  ( $R$  being the set of real numbers) in order to increase the degree of freedom. In this case, the intensity of the pixels of the destination image is interpolated, to provide  $f^{(m,s)}$  having an intensity at non-integer points:

$$V(q_{f^{(m,s)}(i,j)}^{(n,s)}) \quad \text{--- (36)}$$

That is, supersampling is performed. In an example

implementation,  $f^{(m,a)}$  may take integer and half integer values, and

$$V(q_{(i,j)+(0.5,0.5)}^{(m,s)}) \quad \text{--- (37)}$$

is given by

$$(V(q_{(i,j)}^{(m,s)}) + V(q_{(i,j)+(1,1)}^{(m,s)})) / 2 \quad \text{--- (38)}$$

#### [1. 6] Normalization of the pixel intensity of each image

When the source and destination images contain quite different objects, the raw pixel intensity may not be used to compute the mapping because a large difference in the pixel intensity causes excessively large energy  $C_f^{(m,s)}$  and thus making it difficult to obtain an accurate evaluation.

For example, a matching between a human face and a cat's face is computed as shown in Figs. 20(a) and 20(b). The cat's face is covered with hair and is a mixture of very bright pixels and very dark pixels. In this case, in order to compute the submappings of the two faces, subimages are normalized. That is, the darkest pixel intensity is set to 0 while the brightest pixel intensity is set to 255, and other pixel intensity values are obtained using linear interpolation.

#### [1. 7] Implementation

In an example implementation, a heuristic method is utilized wherein the computation proceeds linearly as the source image is scanned. First, the value of  $f^{(m,s)}$  is determined at the top leftmost pixel  $(i,j)=(0,0)$ . The value of each  $f^{(m,s)}(i,j)$  is then determined while  $i$  is increased by one at each step. When  $i$  reaches the width of the image,  $j$  is increased by one and  $i$  is reset to zero. Thereafter,  $f^{(m,s)}(i,j)$  is determined while scanning the source image. Once pixel correspondence is determined for all the points, it means that a single mapping  $f^{(m,s)}$  is determined.

When a corresponding point  $q_{f(i,j)}$  is determined for  $p_{(i,j)}$ , a corresponding point  $q_{f(i,j+1)}$  of  $p_{(i,j+1)}$  is determined next. The position of  $q_{f(i,j+1)}$  is constrained by the position of  $q_{f(i,j)}$  since the position of  $q_{f(i,j+1)}$  satisfies the BC. Thus, in this system, a point whose corresponding point is determined earlier is given higher priority. If the situation continues in which  $(0,0)$  is always given the highest priority, the final mapping might be unnecessarily biased. In order to avoid this bias,  $f^{(m,s)}$  is determined in the following manner in the premised technology.

First, when  $(s \bmod 4)$  is 0,  $f^{(m,s)}$  is determined starting from  $(0,0)$  while gradually increasing both  $i$  and  $j$ . When  $(s \bmod 4)$  is 1,  $f^{(m,s)}$  is determined starting from the top rightmost location while decreasing  $i$  and increasing  $j$ . When



( $s \bmod 4$ ) is 2,  $f^{(m,s)}$  is determined starting from the bottom rightmost location while decreasing both  $i$  and  $j$ . When ( $s \bmod 4$ ) is 3,  $f^{(m,s)}$  is determined starting from the bottom leftmost location while increasing  $i$  and decreasing  $j$ . Since a concept such as the submapping, that is, a parameter  $s$ , does not exist in the finest  $n$ -th level,  $f^{(m,s)}$  is computed continuously in two directions on the assumption that  $s=0$  and  $s=2$ .

In this implementation, the values of  $f^{(m,s)}(i,j)$  ( $m=0,\dots,n$ ) that satisfy the BC are chosen as much as possible from the candidates  $(k,l)$  by imposing a penalty on the candidates violating the BC. The energy  $D_{(k,l)}$  of a candidate that violates the third condition of the BC is multiplied by  $\phi$  and that of a candidate that violates the first or second condition of the BC is multiplied by  $\psi$ . In this implementation,  $\phi=2$  and  $\psi=100000$  are used.

In order to check the above-mentioned BC, the following test may be performed as the procedure when determining  $(k,l)=f^{(m,s)}(i,j)$ . Namely, for each grid point  $(k,l)$  in the inherited quadrilateral of  $f^{(m,s)}(i,j)$ , whether or not the  $z$ -component of the outer product of

$$\vec{W} = \vec{A} \times \vec{B} \quad \text{--- (39)}$$

is equal to or greater than 0 is examined, where

$$\vec{A} = \overrightarrow{q_{f^{(m,s)}(i,j-1)}^{(m,s)} q_{f^{(m,s)}(i+1,j-1)}^{(m,s)}} \quad \text{--- (40)}$$

$$\tilde{B} = \overline{q_{f^{(m,s)}(i,j-1)}^{(m,s)} q_{(k,j)}^{(m,s)}} \quad \text{--- (41)}$$

Here, the vectors are regarded as 3D vectors and the z-axis is defined in the orthogonal right-hand coordinate system. When  $W$  is negative, the candidate is imposed with a penalty by

5 multiplying  $D_{(k,j)}^{(m,s)}$  by  $\psi$  so that it is not as likely to be selected.

Figs. 5(a) and 5(b) illustrate the reason why this condition is inspected. Fig. 5(a) shows a candidate without a penalty and Fig. 5(b) shows one with a penalty. When determining the mapping  $f^{(m,s)}(i,j+1)$  for the adjacent pixel at  $(i,j+1)$ , there is no pixel on the source image plane that satisfies the BC if the z-component of  $W$  is negative because then  $q_{(k,j)}^{(m,s)}$  passes the boundary of the adjacent quadrilateral.

#### 15 [1. 7. 1] The order of submappings

In this implementation,  $\sigma(0)=0, \sigma(1)=1, \sigma(2)=2, \sigma(3)=3, \sigma(4)=0$  are used when the resolution level is even, while  $\sigma(0)=3, \sigma(1)=2, \sigma(2)=1, \sigma(3)=0, \sigma(4)=3$  are used when the resolution level is odd. Thus, the submappings are shuffled to some extent. It is to be noted that the submappings are primarily of four types, and  $s$  may be any of 0 to 3. However, a processing with  $s=4$  is used in this implementation for a reason to be described later.

### [1. 8] Interpolations

After the mapping between the source and destination images is determined, the intensity values of the corresponding pixels are interpolated. In the implementation, trilinear interpolation is used. Suppose that a square  $P(i, j)P(i+1, j)P(i+1, j+1)P(i, j+1)$  on the source image plane is mapped to a quadrilateral  $Q_F(i, j)Q_F(i+1, j)Q_F(i+1, j+1)Q_F(i, j+1)$  on the destination image plane. For simplicity, the distance between the image planes is assumed to be 1. The intermediate image pixels  $r(x, y, t)$  ( $0 \leq x \leq N-1$ ,  $0 \leq y \leq M-1$ ) whose distance from the source image plane is  $t$  ( $0 \leq t \leq 1$ ) are obtained as follows. First, the location of the pixel  $r(x, y, t)$ , where  $x, y, t \in \mathbb{R}$ , is determined by equation (42):

$$\begin{aligned} (x, y) = & (1-dx)(1-dy)(1-t)(i, j) + (1-dx)(1-dy)tf(i, j) \\ & + dx(1-dy)(1-t)(i+1, j) + dx(1-dy)tf(i+1, j) \\ & + (1-dx)dy(1-t)(i, j+1) + (1-dx)dytf(i, j+1) \quad \text{--- (42)} \\ & + dxdy(1-t)(i+1, j+1) + dxdytf(i+1, j+1) \end{aligned}$$

The value of the pixel intensity at  $r(x, y, t)$  is then determined by equation (43):

$$\begin{aligned} V(r(x, y, t)) = & (1-dx)(1-dy)(1-t)V(p_{(i, j)}) + (1-dx)(1-dy)tV(q_{f(i, j)}) \\ & + dx(1-dy)(1-t)V(p_{(i+1, j)}) + dx(1-dy)tV(q_{f(i+1, j)}) \\ & + (1-dx)dy(1-t)V(p_{(i, j+1)}) + (1-dx)dytV(q_{f(i, j+1)}) \\ & + dxdy(1-t)V(p_{(i+1, j+1)}) + dxdytf(q_{f(i+1, j+1)}) \quad \text{--- (43)} \end{aligned}$$

where  $dx$  and  $dy$  are parameters varying from 0 to 1.

[1. 9] Mapping to which constraints are imposed

So far, the determination of a mapping in which no constraints are imposed has been described. However, if a correspondence between particular pixels of the source and destination images is provided in a predetermined manner, the mapping can be determined using such correspondence as a constraint.

The basic idea is that the source image is roughly deformed by an approximate mapping which maps the specified pixels of the source image to the specified pixels of the destination image and thereafter a mapping  $f$  is accurately computed.

First, the specified pixels of the source image are mapped to the specified pixels of the destination image, then the approximate mapping that maps other pixels of the source image to appropriate locations are determined. In other words, the mapping is such that pixels in the vicinity of a specified pixel are mapped to locations near the position to which the specified one is mapped. Here, the approximate mapping at the  $m$ -th level in the resolution hierarchy is denoted by  $F^{(m)}$ .

The approximate mapping  $F$  is determined in the following manner. First, the mappings for several pixels are specified. When  $n_s$  pixels

$$p(i_0, j_0), p(i_1, j_1), \dots, p(i_{n-1}, j_{n-1}) \quad \text{--- (44)}$$

of the source image are specified, the following values in the equation (45) are determined.

$$\begin{aligned} F^{(n)}(i_0, j_0) &= (k_0, l_0), \\ F^{(n)}(i_1, j_1) &= (k_1, l_1), \dots, \\ F^{(n)}(i_{n-1}, j_{n-1}) &= (k_{n-1}, l_{n-1}) \end{aligned} \quad \text{--- (45)}$$

For the remaining pixels of the source image, the amount of displacement is the weighted average of the displacement of  $p(i_h, j_h)$  ( $h=0, \dots, n-1$ ). Namely, a pixel  $p(i, j)$  is mapped to the following pixel (expressed by the equation (46)) of the destination image.

$$F^{(n)}(i, j) = \frac{(i, j) + \sum_{h=0}^{n-1} (k_h - i_h, l_h - j_h) \text{weight}_h(i, j)}{2^{n-m}} \quad \text{--- (46)}$$

where

$$\text{weight}_h(i, j) = \frac{1/\|(i_h - i, j_h - j)\|^2}{\text{total\_weight}(i, j)} \quad \text{--- (47)}$$

where

$$\text{total\_weight}(i, j) = \sum_{h=0}^{n-1} 1/\|(i_h - i, j_h - j)\|^2 \quad \text{--- (48)}$$

Second, the energy  $D_{(i,j)}^{(m,s)}$  of the candidate mapping  $f$  is changed so that a mapping  $f$  similar to  $F^{(m)}$  has a lower energy. Precisely speaking,  $D_{(i,j)}^{(m,s)}$  is expressed by the equation (49):

$$D_{(i,j)}^{(m,s)} = E_{0(i,j)}^{(m,s)} + \eta E_{1(i,j)}^{(m,s)} + \kappa E_{2(i,j)}^{(m,s)} \quad \text{--- (49)}$$

where

$$E_{2(i,j)}^{(m,s)} = \begin{cases} 0, & \text{if } \|F^{(m)}(i,j) - f^{(m,s)}(i,j)\|^2 \leq \left[ \frac{\rho^2}{2^{2(n-m)}} \right] \\ \|F^{(m)}(i,j) - f^{(m,s)}(i,j)\|^2, & \text{otherwise} \end{cases} \quad \text{--- (50)}$$

where  $\kappa, \rho \geq 0$ . Finally, the resulting mapping  $f$  is determined by the above-described automatic computing process.

Note that  $E_{2(i,j)}^{(m,s)}$  becomes 0 if  $f^{(m,s)}(i,j)$  is sufficiently

close to  $F^{(m)}(i,j)$  i.e., the distance therebetween is equal to or less than

$$\left[ \frac{\rho^2}{2^{2(n-m)}} \right] \quad \text{--- (51)}$$

This has been defined in this way because it is desirable to determine each value  $f^{(m,s)}(i,j)$  automatically to fit in an appropriate place in the destination image as long as each value  $f^{(m,s)}(i,j)$  is close to  $F^{(m)}(i,j)$ . For this reason, there is no need to specify the precise correspondence in detail to have the source image automatically mapped so that the source image matches the destination image.

## [2] Concrete Processing Procedure

The flow of a process utilizing the respective elemental techniques described in [1] will now be described.

Fig. 6 is a flowchart of the overall procedure of the premised technology. Referring to Fig. 6, a source image and destination image are first processed using a

multiresolutional critical point filter (S1). The source image and the destination image are then matched (S2). As will be understood, the matching (S2) is not required in every case, and other processing such as image recognition may be performed instead, based on the characteristics of the source image obtained at S1.

Fig. 7 is a flowchart showing details of the process S1 shown in Fig. 6. This process is performed on the assumption that a source image and a destination image are matched at S2. Thus, a source image is first hierarchized using a critical point filter (S10) so as to obtain a series of source hierarchical images. Then, a destination image is hierarchized in the similar manner (S11) so as to obtain a series of destination hierarchical images. The order of S10 and S11 in the flow is arbitrary, and the source image and the destination image can be generated in parallel. It may also be possible to process a number of source and destination images as required by subsequent processes.

Fig. 8 is a flowchart showing details of the process at S10 shown in Fig. 7. Suppose that the size of the original source image is  $2^n \times 2^n$ . Since source hierarchical images are sequentially generated from an image with a finer resolution to one with a coarser resolution, the parameter  $m$  which indicates the level of resolution to be processed is set to  $n$

(S100). Then, critical points are detected from the images  $p^{(m,0)}$ ,  $p^{(m,1)}$ ,  $p^{(m,2)}$  and  $p^{(m,3)}$  of the  $m$ -th level of resolution, using a critical point filter (S101), so that the images  $p^{(m-1,0)}$ ,  $p^{(m-1,1)}$ ,  $p^{(m-1,2)}$  and  $p^{(m-1,3)}$  of the  $(m-1)$ th level are

generated (S102). Since  $m=n$  here,  $p^{(m,0)} = p^{(m,1)} = p^{(m,2)} = p^{(m,3)} = p^{(n)}$  holds and four types of subimages are thus generated from a single source image.

Fig. 9 shows correspondence between partial images of the  $m$ -th and those of  $(m-1)$ th levels of resolution. Referring to Fig. 9, respective numeric values shown in the figure represent the intensity of respective pixels.  $p^{(m,s)}$  symbolizes any one of four images  $p^{(m,0)}$  through  $p^{(m,3)}$ , and when generating  $p^{(m-1,0)}$ ,  $p^{(m,0)}$  is used from  $p^{(m,s)}$ . For example, as for the block shown in Fig. 9, comprising four pixels with their pixel intensity values indicated inside, images  $p^{(m-1,0)}$ ,  $p^{(m-1,1)}$ ,  $p^{(m-1,2)}$  and  $p^{(m-1,3)}$  acquire "3", "8", "6" and "10", respectively, according to the rules described in [1.2]. This block at the  $m$ -th level is replaced at the  $(m-1)$ th level by respective single pixels thus acquired. Therefore, the size of the subimages at the  $(m-1)$ th level is  $2^{m-1} \times 2^{m-1}$ .

After  $m$  is decremented (S103 in Fig. 8), it is ensured that  $m$  is not negative (S104). Thereafter, the process returns to S101, so that subimages of the next level of resolution, i.e., a next coarser level, are generated. The



above process is repeated until subimages at  $m=0$  (0-th level) are generated to complete the process at S10. The size of the subimages at the 0-th level is  $1 \times 1$ .

Fig. 10 shows source hierarchical images generated at S10 in the case of  $n=3$ . The initial source image is the only image common to the four series followed. The four types of subimages are generated independently, depending on the type of critical point. Note that the process in Fig. 8 is common to S11 shown in Fig. 7, and that destination hierarchical images are generated through a similar procedure. Then, the process at S1 in Fig. 6 is completed.

In this premised technology, in order to proceed to S2 shown in Fig. 6 a matching evaluation is prepared. Fig. 11 shows the preparation procedure. Referring to Fig. 11, a plurality of evaluation equations are set (S30). The evaluation equations may include the energy  $C_f^{(m,s)}$  concerning a pixel value, introduced in [1.3.2.1], and the energy  $D_f^{(m,s)}$  concerning the smoothness of the mapping introduced in [1.3.2.2]. Next, by combining these evaluation equations, a combined evaluation equation is set (S31). Such a combined evaluation equation may be  $\lambda C_{(i,j)}^{(m,s)} + D_f^{(m,s)}$ . Using  $\eta$  introduced in [1.3.2.2], we have

$$\sum \sum (\lambda C_{(i,j)}^{(m,s)} + \eta E_{0(i,j)}^{(m,s)} + E_{1(i,j)}^{(m,s)}) \quad \text{--- (52)}$$

In the equation (52) the sum is taken for each  $i$  and  $j$  where  $i$  and  $j$  run through  $0, 1, \dots, 2^{m-1}$ . Now, the preparation for matching evaluation is completed.

Fig. 12 is a flowchart showing the details of the process of S2 shown in Fig. 6. As described in [1], the source hierarchical images and destination hierarchical images are matched between images having the same level of resolution. In order to detect global correspondence correctly, a matching is calculated in sequence from a coarse level to a fine level of resolution. Since the source and destination hierarchical images are generated using the critical point filter, the location and intensity of critical points are stored clearly even at a coarse level. Thus, the result of the global matching is superior to conventional methods.

Referring to Fig. 12, a coefficient parameter  $\eta$  and a level parameter  $m$  are set to 0 (S20). Then, a matching is computed between the four subimages at the  $m$ -th level of the source hierarchical images and those of the destination hierarchical images at the  $m$ -th level, so that four types of submappings  $f^{(m,s)}$  ( $s=0, 1, 2, 3$ ) which satisfy the BC and minimize the energy are obtained (S21). The BC is checked by using the inherited quadrilateral described in [1.3.3]. In that case, the submappings at the  $m$ -th level are constrained

by those at the  $(m-1)$ th level, as indicated by the equations (17) and (18). Thus, the matching computed at a coarser level of resolution is used in subsequent calculation of a matching. This is called a vertical reference between different levels.

If  $m=0$ , there is no coarser level and this exceptional case will be described using Fig. 13.

A horizontal reference within the same level is also performed. As indicated by the equation (20) in [1.3.3],  $f^{(m,3)}$ ,  $f^{(m,2)}$  and  $f^{(m,1)}$  are respectively determined so as to be analogous to  $f^{(m,2)}$ ,  $f^{(m,1)}$  and  $f^{(m,0)}$ . This is because a situation in which the submappings are totally different seems unnatural even though the type of critical points differs so long as the critical points are originally included in the same source and destination images. As can be seen from the equation (20), the closer the submappings are to each other, the smaller the energy becomes, so that the matching is then considered more satisfactory.

As for  $f^{(m,0)}$ , which is to be initially determined, a coarser level by one may be referred to since there is no other submapping at the same level to be referred to as shown in the equation (19). In this premised technology, however, a procedure is adopted such that after the submappings were obtained up to  $f^{(m,3)}$ ,  $f^{(m,0)}$  is recalculated once utilizing the thus obtained submappings as a constraint. This procedure is

equivalent to a process in which  $s=4$  is substituted into the equation (20) and  $f^{(m,4)}$  is set to  $f^{(m,0)}$  anew. The above process is employed to avoid the tendency in which the degree of association between  $f^{(m,0)}$  and  $f^{(m,3)}$  becomes too low. This scheme actually produced a preferable result. In addition to this scheme, the submappings are shuffled in the experiment as described in [1.7.1], so as to closely maintain the degrees of association among submappings which are originally determined independently for each type of critical point. Furthermore, in order to prevent the tendency of being dependent on the starting point in the process, the location thereof is changed according to the value of  $s$  as described in [1.7].

Fig. 13 illustrates how the submapping is determined at the 0-th level. Since at the 0-th level each sub-image is constituted by a single pixel, the four submappings  $f^{(0,s)}$  are automatically chosen as the identity mapping. Fig. 14 shows how the submappings are determined at the first level. At the first level, each of the sub-images is constituted of four pixels, which are indicated by solid lines. When a corresponding point (pixel) of the point (pixel)  $x$  in  $p^{(1,s)}$  is searched within  $q^{(1,s)}$ , the following procedure is adopted:

1. An upper left point  $a$ , an upper right point  $b$ , a lower left point  $c$  and a lower right point  $d$  with respect to the point  $x$  are obtained at the first level of resolution.

2. Pixels to which the points a to d belong at a coarser level by one, i.e., the 0-th level, are searched. In Fig. 14, the points a to d belong to the pixels A to D, respectively.

However, the pixels A to C are virtual pixels which do not exist in reality.

3. The corresponding points A' to D' of the pixels A to D, which have already been defined at the 0-th level, are plotted in  $q^{(1,s)}$ . The pixels A' to C' are virtual pixels and regarded to be located at the same positions as the pixels A to C.

4. The corresponding point a' to the point a in the pixel A is regarded as being located inside the pixel A', and the point a' is plotted. Then, it is assumed that the position occupied by the point a in the pixel A (in this case, positioned at the lower right) is the same as the position occupied by the point a' in the pixel A'.

5. The corresponding points b' to d' are plotted by using the same method as the above 4 so as to produce an inherited quadrilateral defined by the points a' to d'.

6. The corresponding point x' of the point x is searched such that the energy becomes minimum in the inherited quadrilateral. Candidate corresponding points x' may be limited to the pixels, for instance, whose centers are included in the inherited quadrilateral. In the case shown in Fig. 14, the four pixels all become candidates.

The above described is a procedure for determining the corresponding point of a given point  $x$ . The same processing is performed on all other points so as to determine the submappings. As the inherited quadrilateral is expected to become deformed at the upper levels (higher than the second level), the pixels  $A'$  to  $D'$  will be positioned apart from one another as shown in Fig. 3.

Once the four submappings at the  $m$ -th level are determined in this manner,  $m$  is incremented (S22 in Fig. 12). Then, when it is confirmed that  $m$  does not exceed  $n$  (S23), return to S21. Thereafter, every time the process returns to S21, submappings at a finer level of resolution are obtained until the process finally returns to S21 at which time the mapping  $f^{(n)}$  at the  $n$ -th level is determined. This mapping is denoted as  $f^{(n)}(\eta=0)$  because it has been determined relative to  $\eta=0$ .

Next, to obtain the mapping with respect to other different  $\eta$ ,  $\eta$  is shifted by  $\Delta\eta$  and  $m$  is reset to zero (S24). After confirming that new  $\eta$  does not exceed a predetermined search-stop value  $\eta_{\max}$  (S25), the process returns to S21 and the mapping  $f^{(n)}(\eta=\Delta\eta)$  relative to the new  $\eta$  is obtained. This process is repeated while obtaining  $f^{(n)}(\eta=i\Delta\eta)$  ( $i=0,1,\dots$ ) at S21. When  $\eta$  exceeds  $\eta_{\max}$ , the process proceeds to S26 and the optimal  $\eta=\eta_{\text{opt}}$  is determined using a method described later, so as to

let  $f^{(n)} (\eta=\eta_{opt})$  be the final mapping  $f^{(n)}$ .

Fig. 15 is a flowchart showing the details of the process of S21 shown in Fig. 12. According to this flowchart, the submappings at the  $m$ -th level are determined for a certain predetermined  $\eta$ . In this premised technology, when determining the mappings, the optimal  $\lambda$  is defined independently for each submapping.

Referring to Fig. 15,  $s$  and  $\lambda$  are first reset to zero (S210). Then, obtained is the submapping  $f^{(m,s)}$  that minimizes the energy with respect to the then  $\lambda$  (and, implicitly,  $\eta$ ) (S211), and the thus obtained submapping is denoted as  $f^{(m,s)} (\lambda=0)$ . In order to obtain the mapping with respect to other different  $\lambda$ ,  $\lambda$  is shifted by  $\Delta\lambda$ . After confirming that the new  $\lambda$  does not exceed a predetermined search-stop value  $\lambda_{max}$  (S213), the process returns to S211 and the mapping  $f^{(m,s)} (\lambda=\Delta\lambda)$  relative to the new  $\lambda$  is obtained. This process is repeated while obtaining  $f^{(m,s)} (\lambda=i\Delta\lambda) (i=0,1,\dots)$ . When  $\lambda$  exceeds  $\lambda_{max}$ , the process proceeds to S214 and the optimal  $\lambda=\lambda_{opt}$  is determined, so as to let  $f^{(n)} (\lambda=\lambda_{opt})$  be the final mapping  $f^{(m,s)}$  (S214).

Next, in order to obtain other submappings at the same level,  $\lambda$  is reset to zero and  $s$  is incremented (S215). After confirming that  $s$  does not exceed 4 (S216), return to S211.

When  $s=4$ ,  $f^{(m,0)}$  is renewed utilizing  $f^{(m,3)}$  as described above and a submapping at that level is determined.

Fig. 16 shows the behavior of the energy  $C_f^{(m,s)}$  corresponding to  $f^{(m,s)} (\lambda = i\Delta\lambda) (i=0,1,\dots)$  for a certain  $m$  and  $s$  while varying  $\lambda$ . As described in [1.4], as  $\lambda$  increases,  $C_f^{(m,s)}$  normally decreases but changes to increase after  $\lambda$  exceeds the optimal value. In this premised technology,  $\lambda$  in which  $C_f^{(m,s)}$  becomes the minima is defined as  $\lambda_{opt}$ . As observed in Fig. 16, even if  $C_f^{(m,s)}$  begins to decrease again in the range  $\lambda > \lambda_{opt}$ , the mapping will not be as good. For this reason, it suffices to pay attention to the first occurring minima value. In this premised technology,  $\lambda_{opt}$  is independently determined for each submapping including  $f^{(n)}$ .

Fig. 17 shows the behavior of the energy  $C_f^{(s)}$  corresponding to  $f^{(n)} (\eta = i\Delta\eta) (i=0,1,\dots)$  while varying  $\eta$ . Here too,  $C_f^{(s)}$  normally decreases as  $\eta$  increases, but  $C_f^{(s)}$  changes to increase after  $\eta$  exceeds the optimal value. Thus,  $\eta$  in which  $C_f^{(s)}$  becomes the minima is defined as  $\eta_{opt}$ . Fig. 17 can be considered as an enlarged graph around zero along the horizontal axis shown in Fig. 4. Once  $\eta_{opt}$  is determined,  $f^{(n)}$  can be finally determined.

As described above, this premised technology provides



various merits. First, since there is no need to detect edges, problems in connection with the conventional techniques of the edge detection type are solved. Furthermore, prior knowledge about objects included in an image is not

5 necessitated, thus automatic detection of corresponding points is achieved. Using the critical point filter, it is possible to preserve intensity and locations of critical points even at a coarse level of resolution, thus being extremely advantageous when applied to object recognition, characteristic extraction, and image matching. As a result, it is possible to construct an image processing system which significantly reduces manual labor.

Some further extensions to or modifications of the above-described premised technology may be made as follows:

10 15 (1) Parameters are automatically determined when the matching is computed between the source and destination hierarchical images in the premised technology. This method can be applied not only to the calculation of the matching between the hierarchical images but also to computing the matching between  
20 two images in general.

For instance, an energy  $E_0$  relative to a difference in the intensity of pixels and an energy  $E_1$  relative to a positional displacement of pixels between two images may be used as evaluation equations, and a linear sum of these

equations, i.e.,  $E_{tot} = \alpha E_0 + E_1$ , may be used as a combined evaluation equation. While paying attention to the neighborhood of the extrema in this combined evaluation equation,  $\alpha$  is automatically determined. Namely, mappings

5 which minimize  $E_{tot}$  are obtained for various  $\alpha$ 's. Among such mappings,  $\alpha$  at which  $E_{tot}$  takes the minimum value is defined as an optimal parameter. The mapping corresponding to this parameter is finally regarded as the optimal mapping between the two images.

10 Many other methods are available in the course of setting up evaluation equations. For instance, a term which becomes larger as the evaluation result becomes more favorable, such as  $1/E_1$  and  $1/E_2$ , may be employed. A combined evaluation equation is not necessarily a linear sum, but an n-  
15 powered sum ( $n=2, 1/2, -1, -2$ , etc.), a polynomial or an arbitrary function may be employed when appropriate.

The system may employ a single parameter such as the above  $\alpha$ , two parameters such as  $\eta$  and  $\lambda$  as in the premised technology, or more than two parameters. When there are more  
20 than three parameters used, they may be determined while changing one at a time.

(2) In the premised technology, a parameter is determined in a two-step process. That is, in such a manner that a point at which  $C_f^{(m,s)}$  takes the minima is detected after a mapping such

that the value of the combined evaluation equation becomes minimum is determined. However, instead of this two-step processing, a parameter may be effectively determined, as the case may be, in a manner such that the minimum value of a combined evaluation equation becomes minimum. In this case,  $\alpha E_0 + \beta E_1$ , for example, may be used as the combined evaluation equation, where  $\alpha + \beta = 1$  may be imposed as a constraint so as to equally treat each evaluation equation. The automatic determination of a parameter is effective when determining the parameter such that the energy becomes minimum.

(3) In the premised technology, four types of submappings related to four types of critical points are generated at each level of resolution. However, one, two, or three types among the four types may be selectively used. For instance, if there exists only one bright point in an image, generation of hierarchical images based solely on  $f^{(m,3)}$  related to a maxima point can be effective to a certain degree. In this case, no other submapping is necessary at the same level, thus the amount of computation relative on  $s$  is effectively reduced.

(4) In the premised technology, as the level of resolution of an image advances by one through a critical point filter, the number of pixels becomes  $1/4$ . However, it is possible to suppose that one block consists of  $3 \times 3$  pixels and critical points are searched in this  $3 \times 3$  block, then the number of

pixels will be  $1/9$  as the level advances by one.

(5) In the premised technology, if the source and the destination images are color images, they would generally first be converted to monochrome images, and the mappings then computed. The source color images may then be transformed by using the mappings thus obtained. However, as an alternate method, the submappings may be computed regarding each RGB component.

#### Preferred Embodiments Concerning Image Effects

An image-effect apparatus utilizing aspects of the above described premised technology will now be described with reference to Figs. 18-23. Following the description of the image-effect apparatus, an application of the image-effect apparatus in a digital camera will be described with reference to Figs. 24-26.

Fig. 18 shows a first image  $I_1$  and a second image  $I_2$ , which serve as key frames, where certain points or pixels  $p_1(x_1, y_1)$  and  $p_2(x_2, y_2)$  correspond therebetween. The correspondence between these pixels is obtained using the premised technology described above.

Referring to Fig. 19, when a mesh is provided on the first image  $I_1$ , a corresponding mesh can be formed on the second image  $I_2$ . Now, a polygon  $R_1$  on the first image  $I_1$  is

determined by four lattice points A, B, C and D. This polygon R1 is called a "source polygon." As has been shown in Fig.

19, these lattice points A, B, C and D have respectively corresponding points A', B', C' and D' on the second image I2,

5 and a polygon R2 formed by the corresponding points is called a "destination polygon." In this embodiment, the source polygon is generally a rectangle while the destination polygon is generally a quadrilateral. In any event, according to the

present embodiment, the correspondence relation between the  
10 first and second images is not described pixel by pixel, instead, the corresponding pixels are described with respect to the lattice points of the source polygon. Such a description is made available in a corresponding point file.

By directing attention to the lattice points, storage  
15 requirements (data volume) for the corresponding point file can be reduced significantly.

The corresponding point file is utilized for generating an intermediate image between the first image I1 and the second image I2. As described in the premised technology  
20 section above, intermediate images at arbitrary temporal position can be generated by interpolating positions between the corresponding points. Thus, storing the first image I1, the second image I2 and the corresponding point file allows morphing between two images and the generation of smooth

motion pictures between two images, thus providing a compression effect for motion pictures.

Fig. 20 shows a method for computing the correspondence relation between points other than the lattice points, from the corresponding point file. Since the corresponding point file includes information on the lattice points only, data corresponding to interior points of the polygon need to be computed separately. Fig. 20 shows a correspondence between a triangle ABC which corresponds to a lower half of the source polygon R1 shown in Fig. 19 and a triangle A'B'C' which corresponds to that of the destination polygon R2 shown in Fig. 19. Now, suppose that an interior point Q, of the triangle ABC, interior-divides the line segment AC in the ratio  $t:(1-t)$  and the point Q interior-divides a line segment connecting such the interior-divided point and a point B in the ratio  $s:(1-s)$ . Thus, it may be thought of in a manner that a corresponding point Q', which corresponds to the point Q, in a triangle A'B'C' in a destination polygon side interior-divides a line segment A'C', in the ratio  $t:(1-t)$  and the point Q' interior-divides a line segment connecting such the interior-divided point and a point B' corresponding to B in the ratio  $s:(1-s)$ . In this case, it is preferable that the source polygon is divided into triangles, and interior points of the destination polygon are determined in the forms of

interior-division of vectors concerning the triangle. When expressed in a vector skew field, the above becomes

$$BQ = (1-s)\{(1-t)BA + tBC\},$$

thus, we have

$$B'Q' = (1-s)\{(1-t)B'A' + tB'C'\}$$

Of course, a similar process will be performed on a triangle ACD which corresponds to an upper half of the source polygon R1 shown and a triangle A'C'D' which corresponds to that of the destination polygon R2.

Fig. 21 shows the above-described processing procedure. Firstly, the matching results on the lattice points taken on the first image I1 are acquired (S10) as shown in Fig. 19. It is preferable that the pixel-by-pixel matching according to the premised technology is performed, so that a portion corresponding to the lattice points is extracted from those results. It is to be noted that the matching results on the lattice points may also be specified based on other matching techniques such as optical flow and block matching, instead of using the premised technology.

Thereafter, destination polygons are defined on the second image I2 (S12), as shown in the right side of Fig. 19. Once all destination polygons are defined, the corresponding point file is output to memory, data storage or the like (S14). The first image I1, the second image I2 and the

corresponding point file can be stored on an arbitrary recording device or medium, or may be transmitted directly via a network or broadcast or the like.

Fig. 22 shows a procedure to generate intermediate images by using the corresponding point file. Firstly, the first image I1 and the second image I2 are read in (S20), and then the corresponding point file is read in (S22). Thereafter, the correspondence relation between points in source polygons and those of destination polygons is computed using a method such as that described with regard to Fig. 20 (S24). At this time, the correspondence relation for all pixels within the images can be acquired. As described in the premised technology, the coordinates and brightness or colors of points corresponding to each other are interior-divided in the ratio  $u:(1-u)$ , so that an intermediate image in a position which interior-divides temporally in the ratio  $u:(1-u)$  between the first image I1 and the second image I2 can be generated (S26). However, different from the premised technology, in this embodiment, the colors are not interpolated, and the color of each pixel of the first image I1 is simply used as such without any alteration thereto. It is to be noted that not only interpolation but also extrapolation may be performed.

Fig. 23 shows an embodiment of an image-effect apparatus



10 which may perform the above-described processes or methods. The image-effect apparatus 10 includes: an image input unit 12 which acquires the first image I1 and second image I2 from an external storage, a photographing camera, a network or some  
5 other source as is known in the art; a matching processor 14 which performs a matching computation on these images using the premised technology or other technique, a corresponding point file storage unit 16 which stores the corresponding point file F generated by the matching processor 14, an intermediate image generator 18 which generates one or more intermediate images from the first image I1, the second image I2 and the corresponding point file F, and a display unit 20 which displays the first image I1, intermediate images, and the second image I2 as an original motion picture by adjusting  
15 the number and timing of intermediate images. Moreover, a communication unit 22 may also send out the first image I1, the second image I2 and the corresponding point file F to a transmission infrastructure such as a network or broadcast or the like according to an external request. As shown in Fig.  
20 23, mesh data, such as the size of the mesh, the positions of the lattice points and so forth, may also be input in the matching processor 14 either as fixed values or interactively.

By implementing the above-described structure, the first image I1 and the second image I2 which were input in the image

input unit 12 are sent to the matching processor 14. The matching processor 14 performs a pixel-by-pixel matching computation in between images. The matching processor 14 generates the corresponding point file F based on the mesh data, and the thus generated corresponding point file F is output to the storage unit 16.

The intermediate image generator 18 reads out the corresponding point file F upon request from a user or due to other factors, and generates an intermediate image or images. This intermediate image is sent to the display unit 20, where the time adjustment of image output may be performed, so that motion pictures or morphing images are displayed. As evident from this operation, the intermediate image generator 18 and the display unit 20 may be provided in a remote terminal (not shown) which is separated from the apparatus 10, for example, a remote terminal connected to a network which is also connected to communication unit 22 as described below. In this case, the terminal can receive relatively light data (low data volume) comprised of the first image I1, the second image I2 and the corresponding point file F and can independently reproduce intermediate frames and motion pictures.

The communication unit 22 is structured and provided on the basis that there is provided a remote terminal as described above. The communication unit 22 sends out the first

image I1, the second image I2 and the corresponding point file F via a network or broadcast or the like, so that motion pictures can be displayed at the remote terminal side. Of course, the remote terminal may also be provided for the purpose of storage instead of display. For example, the apparatus 10 may be used such that the first image I1, the second image I2 and the corresponding point file therefor are input from a remote terminal or an external unit via a network or the like and these data are then transferred to the intermediate image generator 18 where interpolation is performed to generate intermediate images for display. A data path P for this purpose is shown in Fig. 24, described below.

An experiment was carried out according to the processing of the present embodiments. For example, when using images of 256 X 256 pixels or a similar size for the first image and second image, a satisfactory morphing or motion picture compression effect was obtained by setting the lattice points at intervals of 10 to some tens of pixels in the vertical and horizontal directions. In these cases, the size of the corresponding point file was generally under approximately 10 kilobytes, and it was confirmed that high image quality with a small data amount could be achieved.

**Preferred Embodiments for Digital Camera**

Fig. 24 shows a structure in which the image-effect apparatus 10 shown in Fig. 23 is implemented in a digital camera 50. In Fig. 24, elements of the image-effect apparatus 10 that are included in the digital camera 50 are assigned similar reference numbers. Hereinafter, the structure of the digital camera 50 will be described emphasizing differences from the structure of the image-effect apparatus 10 shown in Fig. 23.

Referring to Fig. 24, an image pick-up unit 52 is provided in place of the image input unit 12, and a camera controller 54 is provided to control the image pick-up unit 52. Moreover, an IC card controller 56 and an IC card 58 are provided in place of the storage unit 16, such that the IC card controller 56 controls input and output of data flowing to and from the IC card 58. It is to be noted that the first image I1, the second image I2 and the corresponding point file F may all be writable to the IC card 58 via the IC card controller 56. The IC card 58 may be any form of storage device such as is known in the art, and in this embodiment, may be a convenient compact storage device for use with digital cameras.

As above, the communication unit 22 can output the first image I1, the second image I2 and the corresponding point file to a network, an external memory device, other external

transmission media and so forth. Though the communication unit 22 is structured such that it can receive data from the IC card controller 56 in Fig. 24, it may of course be structured such that the communication unit 22 receives data from a data bus.

A mode setting unit 70 sets a photographing mode in the camera controller 54, so that, besides a normal still picture mode and a motion picture mode, a "simplified motion picture mode" can be specified.

Fig. 25 shows an example of the image pick-up unit 52. An image is acquired by a charge coupled device (CCD) 60, is digitized by an analog-to-digital (A-D) converter 62, and is then preprocessed for image quality, such as white balancing and the like, by a preprocessor 64 prior to recording.

In this embodiment, the first image I1 and second image I2 are captured by the image pick-up unit 52 and then may be recorded in the IC card 58 or processed directly by the matching processor 14.

Fig. 26 shows another example of an image pick-up unit 52. It differs from Fig. 25 in that two CCD's 60 are provided at a constant distance apart from each other, so that a stereo image can be captured or photographed. In this embodiment, the A-D converter 62 and the preprocessor 64 process images from the two CCD's 60 in a time sharing manner. However, dual

A-D converters and preprocessors may be provided corresponding to each of the two CCD's to provide faster processing.

Referring back to Fig. 24, various examples of processing using the camera controller 14 will be described hereinafter.

# 1. Use as a single-lens camera which compresses motion pictures

In a digital camera 50 which adopts a single-lens structure such as that shown in Fig. 25, the digital camera 50 may be set in a simplified motion picture mode, that is, an intermediate shooting mode between a still picture and a motion picture. In this case, the first image I1 and the second image I2 are captured by the image pick-up unit 52. In a particular case, these images may be captured in a single photographing operation at a predetermined time interval, hereinafter referred to as the photographing interval or shooting interval.

For example, under this mode, when a user presses a release button for taking a picture, two images at a one-second photographing interval, for example, are shot. If a subject of the photograph or the user of the camera moves during this one second period, there will generally be a difference between the first image I1 and the second image I2.

In order to fill in this difference, the matching processor 14 generates a corresponding point file and the intermediate image generator 18 generates an intermediate image or intermediate images based on this corresponding point file.

5 Thus, a motion picture corresponding to a duration of one second can be generated. Alternatively, the camera user may select a slow motion mode in which the replay timing of the reproduced motion pictures may be set to, for example, a time longer than one second, and the intermediate image generator  
10 generates a larger number of intermediate images to give a slow motion effect.

The thus generated motion pictures are displayed on the display unit 20, which may be a liquid crystal device or the like, so that the user can confirm the content of the  
15 simplified motion pictures. Of course, the display unit 20 may simply display the first image I1 and the second image I2 only. In both cases, the corresponding point file is recorded in the IC card 58, so that the motion picture can be displayed by external equipment (not shown) provided externally to the  
20 digital camera 50. Here, it is presupposed that such external equipment includes a structure similar to the intermediate image generator 18.

As a natural consequence, if the photographing interval of this mode is extended, motion pictures for a longer time

period can be generated. A degree to which the time period is allowed to extend can be determined in relation to image quality and may be set by the user. Moreover, the shooting interval may be determined and/or set in the mode setting unit

5 70.

## 2. Use as a single-lens camera which generates a morphing image

As the above-described shooting interval increases and passes a certain level related to the movement of the subject or the camera user, the matching and interpolation process becomes more like the generation of morphing images rather than the generation of motion pictures. Thus, a morphing function may be incorporated into the specifications of the digital camera 50. In this case, the concept of the shooting interval described above might not be used, merely allowing the user to select any first image I1 and any second image I2 by using a function of the camera controller 54. The images may be selected from, for example, newly captured images, 10 images which have already been shot, or images input from the IC card 58. In any case, a morphing can then be achieved between the selected images, even totally unrelated images, for example. Experiments have shown that highly interesting and desirable morphing images can be generated.



### 3. Use as a stereo camera which generates multi-viewpoint images

In a digital camera 50 which uses two image capture units (CCD's), such as shown in Fig. 26, two images are simultaneously captured, and a corresponding point file is generated by the matching processor 14. The corresponding point file includes data regarding corresponding points between the first image I1 and the second image I2 (hereinafter referred to as a "corresponding point pair"). Based on a deviation, in the horizontal direction, between points in corresponding point pairs, it is possible to calculate depth by use of trigonometric survey principles. As a result, special-effect images can be generated by using special processing such as emphasizing the depth, and the like.

Moreover, an intermediate image from a viewpoint between the images from the CCD's 60 can be generated by the intermediate image generator 18. Further, if extrapolation is carried out, images from a viewpoint somewhat away from the digital camera 50 can also be generated. By determining various viewpoints, multi-viewpoint images can be obtained. Such multi-viewpoint images serve as a basis for walk-through images and the like.

In this embodiment, one of or both of the CCD's 60 may be provided in a detachable manner, so that the space between CCD's 60 may be adjusted for the above purpose. Thereby, performance as a stereo camera may be improved.

5       The present invention has been described utilizing a digital camera as an example for present embodiments. Though the present embodiments have been described using a personal-use camera as a central example, the present invention may also be employed in a professional-use TV camera or a camera mounted in a satellite or the like.

10       Moreover, similar to a case referred to in relation to Fig. 23, the digital camera 50 may allow input of the first image I1, the second image I2 and the corresponding point file externally, via the communication unit 22 and the IC card 58, such that they can be transferred to the intermediate image generator 18, in order to allow interpolation and generation of intermediate images.

15       Although the present invention has been described by way of exemplary embodiments, it should be understood that many changes and substitutions may be made by those skilled in the art without departing from the spirit and the scope of the present invention which is defined by the appended claims.